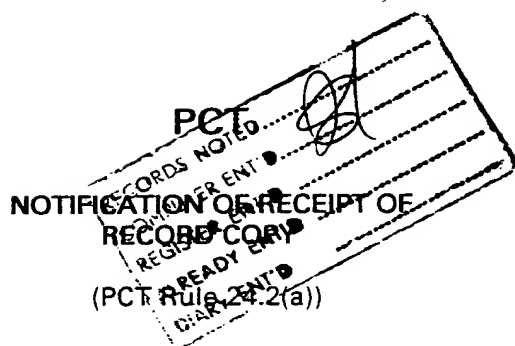


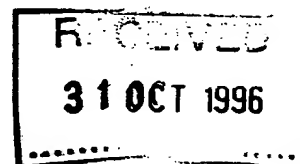
## PATENT COOPERATION TREATY



From the INTERNATIONAL BUREAU

To:

WALTON, Sean, M.  
Mewburn Ellis  
York House  
23 Kingsway  
London WC2B 6HP  
ROYAUME-UNI



Date of mailing (day/month/year) 24 October 1996 (24.10.96)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference SMW/BP5257977	International application No. PCT/GB96/02416

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

CANCER RESEARCH CAMPAIGN TECHNOLOGY LIMITED (for all designated States except US)

BALMAIN, Allan et al (for US)

International filing date : 02 October 1996 (02.10.96)

Priority date(s) claimed : 02 October 1995 (02.10.95)  
08 August 1996 (08.08.96)

Date of receipt of the record copy by the International Bureau : 21 October 1996 (21.10.96)

List of designated Offices :

AP : KE, LS, MW, SD, SZ, UG

EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

EP : AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE

OA : BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG

National : AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer:

D. Ybarra

Telephone No. (41-22) 730.91.11

## Continuation of Form PCT/IB/301

## NOTIFICATION OF RECEIPT OF RECORD COPY

Date of mailing (day/month/year) 24 October 1996 (24.10.96)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference SMW/BP5257977	International application No. PCT/GB96/02416

**ATTENTION**

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase;
- ☐ confirmation of precautionary designations;
- ☐ requirements regarding priority documents.

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

**INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE**

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is **20 MONTHS** from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, **30 MONTHS** from the priority date, provided that the election is made before the expiry of 19 months from the priority date. Some designated (or elected) Offices have fixed time limits which expire even later than 20 or 30 months from the priority date. In other Offices an extension of time or grace period, in some cases upon payment of an additional fee, is available.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. **It is the applicant's responsibility** to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

Note that since ES is not bound by PCT Chapter II (which provides for the international preliminary examination procedure), that State cannot be elected in a demand for international preliminary examination. In the case of the designation of ES for a national patent, the applicant must thus always enter the national phase before the national Office of that State before the expiration of 20 months from the priority date. In the case of the designation of ES for a European patent, however, the 31-month time limit applies in respect of that designation if at least one other State designated for a European patent is also elected within the 19-month period.\*

Note also that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

- \* CH and LI became bound by PCT Chapter II on 1 September 1995. GR became bound by PCT Chapter II on 7 September 1996. Therefore, CH and LI may be elected in a demand or a later election filed on or after 1 September 1995, and GR may be elected in a demand or a later election filed on or after 7 September 1996, regardless of the filing date of the international application. (See 2nd paragraph above.)

**CONFIRMATION OF PRECAUTIONARY DESIGNATIONS**

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

**REQUIREMENTS REGARDING PRIORITY DOCUMENTS**

For applicants who have not yet complied with the requirements regarding priority documents the following is recalled:

Where the priority of an earlier national (i.e. national or regional) application is claimed, the applicant must submit a copy of the said national application, certified by the authority which issued it, to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date (Rule 17.1).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such a request must be made before the expiration of the 16-month time limit.

It is recalled that, where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

If the priority document concerned is not submitted to the International Bureau before the expiration of the 16-month time limit, or if the request to the receiving Office to transmit the priority document has not been made (and the corresponding fee, if any, paid) before the expiration of this time limit, any designated State may disregard the priority claim.

09/051159

PCT/GB96/02416

## PATENT COOPERATION TREATY

RECORDS NOTED.....
PCT COMPILED ENT'D.....
REGISTER ENT'D.....

NOTIFICATION CONCERNING  
SUBMISSION OF PRIORITY DOCUMENTS

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

WALTON, Sean, M.  
Mewburn Ellis  
York House  
23 Kingsway  
London WC2B 6HP  
ROYAUME-UNI

RECEIVED

4 - NOV 1996

Date of mailing (day/month/year) 30 October 1996 (30.10.96)		IMPORTANT NOTIFICATION	
Applicant's or agent's file reference SMW/BP5257977			
International application No. PCT/GB96/02416	International filing date (day/month/year) 02 October 1996 (02.10.96)	Priority date (day/month/year) 02 October 1995 (02.10.95)	
Applicant CANCER RESEARCH CAMPAIGN TECHNOLOGY LIMITED et al			

The applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to the following application(s):

<u>Priority application No.:</u>	<u>Priority date:</u>	<u>Priority country:</u>	<u>Date of receipt of priority document:</u>
9520079.6	02 Oct 1995 (02.10.95)	GB	28 Oct 1996 (28.10.96)
9616685.5	08 Aug 1996 (08.08.96)	GB	28 Oct 1996 (28.10.96)

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

J. Zahra

Telephone No.: (41-22) 730.91.11

RECEIVING OFFICE

09/051159 PCT

Mewburn Ellis  
York House  
23 Kingsway  
London

RECEIVED  
18 OCT 1996

WC2B 6HP

NOTIFICATION OF THE INTERNATIONAL  
APPLICATION NUMBER AND OF THE  
INTERNATIONAL FILING DATE

(PCT Rule 20.5(c))

Date of mailing  
(day/month/year)

15/10/96

Applicant's or agents's file reference  
SMW/BP5257977

## IMPORTANT NOTIFICATION

International application No.  
PCT/GB96/02416International filing date (day/month/year)  
02/10/96Priority date (day/month/year)  
02/10/95Applicant  
Cancer Research Campaign Technology Ltd et alTitle of the invention  
Antitumour Vector Constructs and Methods

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:



was transmitted to the International Bureau on

15 OCTOBER 1996.



has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau\*:



because the necessary national security clearance has not yet been obtained.



because (reason to be specified):

\* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

Name and mailing address of the receiving Office

The Patent Office  
Cardiff Road, Newport  
Gwent NP9 1RH

Facsimile No.

Authorized officer

J. R. LLOYD - THOMAS  
ROOM G.Y73 EXT. 4387 4346.

Telephone No.